HUMBOLDT-UNIVERSITÄT ZU BERLIN



Checklist Nagoya

This checklist is intended to help you decide whether your research is subject to the <u>EU Regulation (511/2014)</u> on "compliance measures for users from the Nagoya Protocol on Access to Genetic Resources and the Fair and Equitable Sharing of Benefits Arising from their Utilization in the Union". You fall under the scope of application if you answer all of the following questions in the affirmative. Even if your research is not covered by the EU Regulation, you must comply with the national provisions of the provider country that apply independently of the Nagoya regime!

Range	Questions / test criteria	Yes	No
Content	Research and development on/with (see: EU Regulation (511/2014, Article 3, definitions 1, 2 and 7)		
	⇒ genetic materials, i.e. any material of plant, animal, microbial or other origin that contains functional units and is of non-		
	human origin		
	⇒ genetic resources (i.e. genetic material with actual or potential value) or		
	⇒ traditional knowledge of an indigenous or local community that relates to genetic resources and is relevant to the		
	utilisation of genetic resources.		
Geographical	Access to the material within national territories (no territory: on the high seas or area covered by the Antarctic Treaty).		
scope			
	Does the use of the material take place within Germany or within the scope of the EU regulation?		
	⇒ EU Regulation (511/2014). Link, Article 3 No. 5: "Utilisation of genetic resources" means the carrying out of research and		
	development activities on the genetic and/or biochemical composition of genetic resources, including through the		
* 1	application of biotechnology as defined in Article 2 of the Convention"		
Legal status	Is the provider country a signatory to the Nagoya Protocol?		İ
	⇒ List of countries that have signed the Nagoya Protocol: <u>Link</u> . In any case, please keep the information relevant to the		
	genetic resource in order to be able to prove where the material comes from if necessary.		
	Has the provider country issued a national ABS regulation?		
	⇒ Information about the ABS Clearing House: https://absch.cbd.int/en/countries		
In terms of	If the material was accessed on or after 12 October 2014 (date of entry into force of the EU Regulation)		
time			

For any reviews by the Federal Agency for Nature Conservation (BnF), please also document this completed checklist and any other documents as part of the project application that show that your research does not fall under the ABS obligations.